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# 2.

## The Language of First-order Logic

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### Declarative language

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Before building system

before there can be learning, reasoning, planning, explanation ...

need to be able to express knowledge

Want a precise declarative language

- declarative: believe  $P$  = hold  $P$  to be true  
cannot believe  $P$  without some sense of what it would mean for the world to satisfy  $P$
- precise: need to know exactly  
what strings of symbols count as sentences  
what it means for a sentence to be true  
(but without having to specify which ones are true)

Here: language of first-order logic

again: not the only choice

# Alphabet

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## Logical symbols:

- Punctuation:  $(, ), .$
- Connectives:  $\neg, \wedge, \vee, \forall, \exists, =$
- Variables:  $x, x_1, x_2, \dots, x', x'', \dots, y, \dots, z, \dots$   
Fixed meaning and use  
like keywords in a programming language

## Non-logical symbols

- Predicate symbols (like Dog) **Note:** not treating  $=$  as a predicate
- Function symbols (like bestFriendOf)  
Domain-dependent meaning and use  
like identifiers in a programming language

Have arity: number of arguments

arity 0 predicates: propositional symbols

arity 0 functions: constant symbols

Assume infinite supply of every arity

# Grammar

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## Terms

1. Every variable is a term.
2. If  $t_1, t_2, \dots, t_n$  are terms and  $f$  is a function of arity  $n$ , then  $f(t_1, t_2, \dots, t_n)$  is a term.

## Atomic wffs (well-formed formula)

1. If  $t_1, t_2, \dots, t_n$  are terms and  $P$  is a predicate of arity  $n$ , then  $P(t_1, t_2, \dots, t_n)$  is an atomic wff.
2. If  $t_1$  and  $t_2$  are terms, then  $(t_1=t_2)$  is an atomic wff.

## Wffs

1. Every atomic wff is a wff.
2. If  $\alpha$  and  $\beta$  are wffs, and  $v$  is a variable, then  $\neg\alpha, (\alpha\wedge\beta), (\alpha\vee\beta), \exists v.\alpha, \forall v.\alpha$  are wffs.

## The propositional subset: no terms, no quantifiers

Atomic wffs: only predicates of 0-arity:  $(p \wedge \neg(q \vee r))$

# Notation

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Occasionally add or omit (,), .

Use [,] and {,} also.

Abbreviations:

$(\alpha \supset \beta)$  for  $(\neg\alpha \vee \beta)$   
safer to read as disjunction than as "if ... then ..."

$(\alpha \equiv \beta)$  for  $((\alpha \supset \beta) \wedge (\beta \supset \alpha))$

Non-logical symbols:

- Predicates: mixed case capitalized  
Person, Happy, OlderThan
- Functions (and constants): mixed case uncapitalized  
fatherOf, successor,  
johnSmith

# Variable scope

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Like variables in programming languages, the variables in FOL have a scope determined by the quantifiers

Lexical scope for variables

$P(x) \wedge \exists x[P(x) \vee Q(x)]$

↑            ↙    ↑    ↗  
free            bound    occurrences of variables

A sentence: wff with no free variables (closed)

Substitution:

$\alpha[v/t]$  means  $\alpha$  with all free occurrences of the  $v$  replaced by term  $t$

Note: written  $\alpha_i^v$  elsewhere (and in book)

Also:  $\alpha[t_1, \dots, t_n]$  means  $\alpha[v_1/t_1, \dots, v_n/t_n]$

# Semantics

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How to interpret sentences?

- what do sentences claim about the world?
- what does believing one amount to?

Without answers, cannot use sentences to represent knowledge

Problem:

cannot fully specify interpretation of sentences because non-logical symbols reach outside the language

So:

make clear dependence of interpretation on non-logical symbols

Logical interpretation:

specification of how to understand predicate and function symbols

Can be complex!

DemocraticCountry, IsABetterJudgeOfCharacterThan,  
favouriteIceCreamFlavourOf, puddleOfWater27

## The simple case

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There are objects.

some satisfy predicate  $P$ ; some do not

Each interpretation settles extension of  $P$ .

borderline cases ruled in separate interpretations

Each interpretation assigns to function  $f$  a mapping from objects to objects.

functions always well-defined and single-valued

The FOL assumption:

*this is all you need to know about the non-logical symbols to understand which sentences of FOL are true or false*

In other words, given a specification of

- » what objects there are
- » which of them satisfy  $P$
- » what mapping is denoted by  $f$

it will be possible to say which sentences of FOL are true

# Interpretations

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Two parts:  $\mathcal{I} = \langle D, I \rangle$

$D$  is the domain of discourse

can be *any* non-empty set

not just formal / mathematical objects

e.g. people, tables, numbers, sentences, unicorns, chunks of peanut butter, situations, the universe

$I$  is an interpretation mapping

If  $P$  is a predicate symbol of arity  $n$ ,

$$I[P] \subseteq D \times D \times \dots \times D$$

an  $n$ -ary relation over  $D$

If  $f$  is a function symbol of arity  $n$ ,

$$I[f] \in [D \times D \times \dots \times D \rightarrow D]$$

an  $n$ -ary function over  $D$

for propositional symbols,

$$I[p] = \{\} \text{ or } I[p] = \{\langle \rangle\}$$

for constants,  $I[c] \in D$

In propositional case, convenient to assume

$$\mathcal{I} = I \in [\text{prop. symbols} \rightarrow \{\text{true}, \text{false}\}]$$

# Denotation

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In terms of interpretation  $\mathcal{I}$ , terms will denote elements of the domain  $D$ .

will write element as  $\|t\|_{\mathcal{I}}$

For terms with variables, the denotation depends on the values of variables

will write as  $\|t\|_{\mathcal{I}, \mu}$

where  $\mu \in [\text{Variables} \rightarrow D]$ ,

called a variable assignment

Rules of interpretation:

1.  $\|v\|_{\mathcal{I}, \mu} = \mu(v)$ .
2.  $\|f(t_1, t_2, \dots, t_n)\|_{\mathcal{I}, \mu} = H(d_1, d_2, \dots, d_n)$   
where  $H = I[f]$   
and  $d_i = \|t_i\|_{\mathcal{I}, \mu}$  recursively

# Satisfaction

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In terms of an interpretation  $\mathcal{I}$ , sentences of FOL will be either true or false.

Formulas with free variables will be true for some values of the free variables and false for others.

Notation:

will write as  $\mathcal{I}, \mu \models \alpha$  “ $\alpha$  is satisfied by  $\mathcal{I}$  and  $\mu$ ”

where  $\mu \in [\text{Variables} \rightarrow D]$ , as before

or  $\mathcal{I} \models \alpha$ , when  $\alpha$  is a sentence

“ $\alpha$  is true under interpretation  $\mathcal{I}$ ”

or  $\mathcal{I} \models S$ , when  $S$  is a set of sentences

“the elements of  $S$  are true under interpretation  $\mathcal{I}$ ”

And now the definition...

## Rules of interpretation

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1.  $\mathcal{I}, \mu \models P(t_1, t_2, \dots, t_n)$  iff  $\langle d_1, d_2, \dots, d_n \rangle \in R$   
where  $R = I[P]$   
and  $d_i = \llbracket t_i \rrbracket_{\mathcal{I}, \mu}$ , as on denotation slide
2.  $\mathcal{I}, \mu \models (t_1 = t_2)$  iff  $\llbracket t_1 \rrbracket_{\mathcal{I}, \mu}$  is the same as  $\llbracket t_2 \rrbracket_{\mathcal{I}, \mu}$
3.  $\mathcal{I}, \mu \models \neg \alpha$  iff  $\mathcal{I}, \mu \not\models \alpha$
4.  $\mathcal{I}, \mu \models (\alpha \wedge \beta)$  iff  $\mathcal{I}, \mu \models \alpha$  and  $\mathcal{I}, \mu \models \beta$
5.  $\mathcal{I}, \mu \models (\alpha \vee \beta)$  iff  $\mathcal{I}, \mu \models \alpha$  or  $\mathcal{I}, \mu \models \beta$
6.  $\mathcal{I}, \mu \models \exists v \alpha$  iff for some  $d \in D$ ,  $\mathcal{I}, \mu\{d;v\} \models \alpha$
7.  $\mathcal{I}, \mu \models \forall v \alpha$  iff for all  $d \in D$ ,  $\mathcal{I}, \mu\{d;v\} \models \alpha$   
where  $\mu\{d;v\}$  is just like  $\mu$ , except that  $\mu(v)=d$ .

For propositional subset:

$\mathcal{I} \models p$  iff  $I[p] \neq \{\}$  and the rest as above

# Entailment defined

Semantic rules of interpretation tell us how to understand all wffs in terms of specification for non-logical symbols.

But some connections among sentences are independent of the non-logical symbols involved.

e.g. If  $\alpha$  is true under  $\mathcal{I}$ , then so is  $\neg(\beta \wedge \neg\alpha)$ ,  
no matter what  $\mathcal{I}$  is, why  $\alpha$  is true, what  $\beta$  is, ...

$S \models \alpha$  iff for every  $\mathcal{I}$ , if  $\mathcal{I} \models S$  then  $\mathcal{I} \models \alpha$ .

Say that  $S$  entails  $\alpha$  or  $\alpha$  is a logical consequence of  $S$ :

In other words: for no  $\mathcal{I}$ ,  $\mathcal{I} \models S \cup \{\neg\alpha\}$ .  $S \cup \{\neg\alpha\}$  is unsatisfiable

Special case when  $S$  is empty:  $\models \alpha$  iff for every  $\mathcal{I}$ ,  $\mathcal{I} \models \alpha$ .

Say that  $\alpha$  is valid.

Note:  $\{\alpha_1, \alpha_2, \dots, \alpha_n\} \models \alpha$  iff  $\models (\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n) \supset \alpha$   
finite entailment reduces to validity

# Why do we care?

We do not have access to user-intended interpretation of non-logical symbols

But, with entailment, we know that if  $S$  is true in the intended interpretation, then so is  $\alpha$ .

If the user's view has the world satisfying  $S$ , then it must also satisfy  $\alpha$ .

There may be other sentences true also; but  $\alpha$  is logically guaranteed.

So what about ordinary reasoning?

Dog(fido)  $\Rightarrow$  Mammal(fido) ??

Not entailment!

There are logical interpretations where  $I[\text{Dog}] \not\subseteq I[\text{Mammal}]$

Key idea  
of KR:

include such connections explicitly in  $S$

$\forall x[\text{Dog}(x) \supset \text{Mammal}(x)]$

Get:  $S \cup \{\text{Dog}(\text{fido})\} \models \text{Mammal}(\text{fido})$

the rest is just  
details...

# Knowledge bases

KB is set of sentences

explicit statement of sentences believed (including any assumed connections among non-logical symbols)

$KB \models \alpha$   $\alpha$  is a further consequence of what is believed

- explicit knowledge: KB
- implicit knowledge:  $\{ \alpha \mid KB \models \alpha \}$

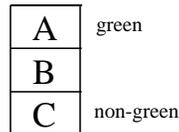
Often non trivial: explicit  $\rightsquigarrow$  implicit

Example:

Three blocks stacked.

Top one is green.

Bottom one is not green.



Is there a green block directly on top of a non-green block?

## A formalization

$$S = \{ \text{On}(a,b), \text{On}(b,c), \text{Green}(a), \neg\text{Green}(c) \}$$

all that is required

$$\alpha = \exists x \exists y [ \text{Green}(x) \wedge \neg\text{Green}(y) \wedge \text{On}(x,y) ]$$

Claim:  $S \models \alpha$

Proof:

Let  $\mathcal{I}$  be any interpretation such that  $\mathcal{I} \models S$ .

Case 1:  $\mathcal{I} \models \text{Green}(b)$ .

$\therefore \mathcal{I} \models \text{Green}(b) \wedge \neg\text{Green}(c) \wedge \text{On}(b,c)$ .

$\therefore \mathcal{I} \models \alpha$

Case 2:  $\mathcal{I} \not\models \text{Green}(b)$ .

$\therefore \mathcal{I} \models \neg\text{Green}(b)$

$\therefore \mathcal{I} \models \text{Green}(a) \wedge \neg\text{Green}(b) \wedge \text{On}(a,b)$ .

$\therefore \mathcal{I} \models \alpha$

Either way, for any  $\mathcal{I}$ , if  $\mathcal{I} \models S$  then  $\mathcal{I} \models \alpha$ .

So  $S \models \alpha$ . QED

# Knowledge-based system

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Start with (large) KB representing what is explicitly known

e.g. what the system has been told or has learned

Want to influence behaviour based on what is implicit in the KB  
(or as close as possible)

Requires reasoning

deductive inference:

process of calculating entailments of KB

i.e given KB and any  $\alpha$ , determine if  $KB \models \alpha$

Process is sound if whenever it produces  $\alpha$ , then  $KB \models \alpha$

does not allow for plausible assumptions that may be true  
in the intended interpretation

Process is complete if whenever  $KB \models \alpha$ , it produces  $\alpha$

does not allow for process to miss some  $\alpha$  or be unable to  
determine the status of  $\alpha$